

National Taiwan University of Science and Technology

Directives for Faculty Research Awards

*Approved at the 451st Administrative Meeting on August 3, 2007, effective from August 1, 2007.
Amended and approved at the 452nd Administrative Meeting on September 14, 2007.
Approved by the University Endowment Fund Management Committee on December 7, 2007.
Amended and approved at the 461st Administrative Meeting and by the University Endowment Fund Management Committee on June 20, 2008.
Amended and approved at the 469th Administrative Meeting on April 10, 2009.
Amended and approved by the University Endowment Fund Management Committee on April 16, 2009.
Approved by the Ministry of Education, reference No. 0980067755, on May 11, 2009.
Amended and approved by the University Endowment Fund Management Committee on November 17, 2009.
Amended and approved at the 476th Administrative Meeting on December 25, 2009.
Amended and approved at the 483rd Administrative Meeting on September 10, 2010.
Amended and approved at the 497th Administrative Meeting on March 16, 2012.
Amended and approved at the 514th Administrative Meeting on September 13, 2013.
Amended and approved (specifically Article 2) at the 530th administrative meeting on March 13, 2015.
Amended and approved at the 537th Administrative Meeting on October 20, 2015.
Amended and approved at the 559th Administrative Meeting on October 24, 2017.
Amended and approved at the 564th Administrative Meeting on April 17, 2018.
Amended and approved at the 565th Administrative Meeting on May 4, 2018.
Amended and approved at the 566th Administrative Meeting on May 22, 2018.
Amended and approved at the 579th Administrative Meeting on September 10, 2019.
Amended and approved at the 585th Administrative Meeting on March 24, 2020.
Amended and approved at the 589th Administrative Meeting on July 21, 2020.
Amended and approved at the 609th Administrative Meeting on May 24, 2022.
Approved by the University Endowment Fund Management Committee on September 13, 2022.
Amended and approved at the 622nd Administrative Meeting on July 18, 2023.*

1. The National Taiwan University of Science and Technology (hereinafter referred to as the “University”), in accordance with the National Taiwan University of Science and Technology Regulations for Faculty Performance Awards, has established the National Taiwan University of Science and Technology Directives for Faculty Research Awards to reward high-quality academic research and creative works, thereby enhancing the University’s international competitiveness.
2. The awards under these directives are categorized into two types: the Excellent category and Outstanding category. The evaluation for each award shall be based on a comprehensive assessment of the applicant’s research achievements, participation in international academic activities, creative works, and patents in the past five years, as well as the applicant’s future research plans.
3. Applications for the awards are divided into three categories: the Academic Research category, Industry–Academia Research category, and Creative Works category.
4. Basic Eligibility Criteria:
 - (1) Current full-time (or project-based) faculty members of the University are eligible to apply.
 - (2) Faculty members who are on leave without pay or seconded to another institution are not eligible to apply.
 - (3) Chair or distinguished professors are not eligible to apply for this award during the tenure of their specific award period, except those whose terms for chair or distinguished professorship are concluding within the academic year.
 - (4) New faculty members who received the Ta-You Wu Memorial Award within two years prior to their appointment at the University or current faculty members who have received this award from the National Science and Technology Council (referred to as the “NSTC”) are exempt from the selection process and automatically granted the Excellent Research Award for the academic year; those who have received the Outstanding Research Award from the NSTC are similarly exempt and automatically granted the Outstanding Research Award for the academic year.

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5. Award Allocation:

- (1) Excellent Research Award: This award is allocated on the basis of 12.5% of the total number of full-time faculty members in each college and the Commission of General Education (hereafter referred to as the “CGE”). The figure is calculated by rounding to the nearest point.
- (2) Outstanding Research Award: Recipients of this award are selected from the recipients of the Excellent Research Award from each college and the CGE, adhering to a cap of no more than 2.5% of the total number of full-time faculty members across the University.
- (3) Recipients who qualify under the fourth paragraph of Article 4 for the Excellent Research Award and Outstanding Research Award are not subject to the award allocation limits.

Award recipients, during the tenure of their grant, may not submit further applications for these awards.

6. Selection Process: The selection for these awards occurs annually, with specific dates set according to official correspondence. The selection process is divided into three stages:

(1) **Preliminary Selection:**

- i. **Departments, Institutes, and Schools:** Candidates may nominate themselves or be recommended by department, institute, or school heads. After deliberation at departmental, institute, or school meetings, candidates may be recommended to their respective colleges for the Excellent Research Award.
- ii. **Physical Education Office, Language Center, General Education Center:** Candidates can apply themselves or be recommended by heads of their respective offices or centers. Following review at office or center meetings, candidates may be recommended to the CGE for the Excellent Research Award.

(2) **Secondary Selection by Colleges and the CGE:**

- i. Each college and the CGE shall review their candidates who have passed the preliminary selection. On the basis of the allocated quota for the academic year, the list of recipients for the Excellent Research Award and nominated candidates for the Outstanding Research Award are finalized.
- ii. Faculty members employed by the colleges can apply themselves or be directly nominated by the Dean for consideration at a college meeting. The number of Excellent Research Awards is limited to the quota allocated for that academic year and may not be exceeded.

(3) **University-Level Final Selection:**

- i. The university-level selection committee conducts the recipient evaluation for the Outstanding Research Award.
- ii. The final selection results for the Outstanding Research Award, along with the list of recipients of the Excellent Research Award, are ratified by the University and announced, after which the award ceremony is held.

7. Colleges and the CGE shall provide the following documents for the candidates recommended for the Outstanding Research Award selection process: the recommendation form for this award, detailed performance appendices, and a list of external reviewers to avoid, with the emphasis being their most representative outcomes:

- (1) For entries categorized under industry-academia research or creative works, a technical report or a description of the creative work must be included.
- (2) For collaborative research results, individual contributions must be specified.

8. Departments, institutes, schools, the Physical Education Office, the Language Center, and the English version is provided for reference only.
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General Education Center shall each establish a selection committee to conduct preliminary selection. Colleges and the CGE shall each form an Excellent Research Award selection committee to conduct the secondary selection. The composition, operational methods, and review and nomination criteria for these selection committees shall be separately established by each department, institute, school, college, office, center, and the CGE.

9. The university-level selection committee is appointed by the President and consists of seven to eleven academically reputable scholars and experts from both within and outside the University, with one member designated as the chairperson. After reviewing the written materials of the candidates nominated for the Outstanding Award, the committee conducts the selection process in accordance with Article 2, taking into account the characteristics, standards, and balance between the fields involved. External reviews may be sought as necessary on the basis of the nature of each case.

Committee members shall attend meetings in person, and a quorum for starting a meeting requires the presence of more than two-thirds of the members. A decision can only be made if more than two-thirds of the members present vote in favor of the decision.

If a committee member is a nominee for the Outstanding Award, is related by blood or marriage to a nominee, has had such a relationship, or was the doctoral advisor of a nominee, they are required to step down from their committee role. Vacancies are filled in accordance with the procedure specified in the first paragraph of this article.

10. Award recipients shall be issued a certificate and granted a monthly incentive. The amount and number of incentive points may be adjusted on the basis of the University's financial situation during the award period and in accordance with the following standards:

- (1) Outstanding Award recipients shall receive thirty incentive points per month for a period of two years. Newly appointed faculty members who are exempt from the evaluation process and receive the Outstanding Award shall receive thirty incentive points per month for a period of one year.
- (2) Excellent Award recipients shall receive fifteen incentive points per month for a period of two years. Newly appointed faculty members who are exempt from the evaluation process and receive the Excellent Award shall receive fifteen incentive points per month for a period of one year.

11. During the award period, the suspension of incentive payments may occur under any of the following circumstances:

- (1) The recipient ceases to be a current full-time (or project-based) faculty member at the University.
- (2) The recipient goes on leave without pay.
- (3) The recipient acquires a chair or distinguished professorship and is receiving incentive payments during the award period.
- (4) The recipient has violated relevant educational laws, regulations of the University, or the terms of their employment contract, or has committed other serious misconduct that damages their professional integrity or the reputation of the University.

Should the reasons for suspension cease to exist and the award period has not yet expired, incentive payments may resume and continue until the end of the award period.

12. The selection procedures of each college and the CGE shall be approved by the respective college affairs meeting or at a relevant meeting and then submitted to the University for ratification.
13. The funding required to implement these directives shall be covered by the allocated budget approved by the relevant departments and the income generated from the University's

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endowment fund.

14. These directives and any amendments thereof shall take effect following their approval at an administrative meeting.

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